

SEMINAR

Bayesian Theory of Mind

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“There is only one thing certain and that is that nothing is certain.”

Gilbert K. Chesterton

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- 2 Preliminaries and Problem Statement
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- 4 Bayesian Theory of Mind
- 5 Experiments and Results
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- We learn from others' successes and mistakes by reconstructing their thought processes.
- The physical world is complex; people's behaviour is even more so.

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What is the 'theory of mind'?

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Theory of Mind

Theory of mind is the ability to ascribe mental states, such as beliefs, desires and intentions, to explain, predict, and justify behavior^a.

^aApperly and Butterfill, "Do humans have two systems to track beliefs and belief-like states?"

Preliminaries

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Now, we define the '*mentalizing*' process

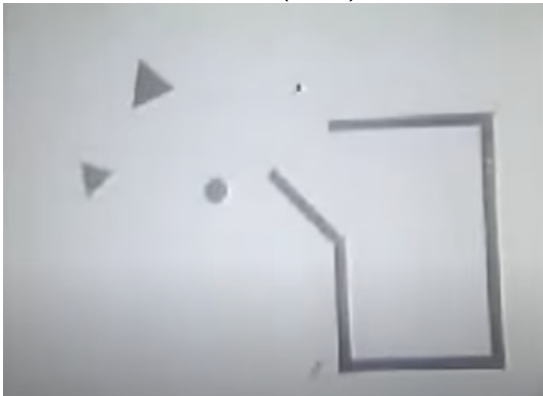
Mental State Inference

Mental state inference (or 'mentalizing') in adults is a capacity that appears in some form in infancy and persists as a richer theory of mind develops through the first years of life^a.

^aChris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing".

Examples

Heider & Simmel (1944) animation¹



¹Heider and Simmel, "An experimental study of apparent behavior".

Examples

Sally-Anne experiment¹



¹Wimmer and Perner, "Beliefs about beliefs: Representation and constraining function of wrong beliefs in young children's understanding of deception".

What mental states can we infer?

Human has many mental states:

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Core Mentalizing

- Involves observing and predicting agents' behaviors, e.g., reaching for, moving toward, or manipulating objects
- Grounded in perception, action, and the physical world
- Based on line of sight and what agents can perceive
- Shaped by interactions with nearby agents who also have analogous beliefs, desires, and percepts

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Recap

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- Predicting how people will act so we can complement, not duplicate, their effort.
- Tailoring information to what the other person already knows or misunderstands, to maintain shared understanding.
- Changing one's plan when detecting a mismatch between our expectations and others' beliefs/desires.

Problem Statement

Problem

Given complete information about an agent's state and environment, and assuming an observer has access to these observations, can we develop a mathematical model for the observer's core mentalizing process (*i.e.*, their Theory of Mind)?

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Types of Approaches for Core Mentalizing

Based on the approach properties, they can be grouped into two types¹:

- **Model-based**²: Humans have an intuitive theory of what agents think and do.

Example: We can guess what a 6-year-old child wants, given their actions, by using some functions.

¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing".

²Chris L Baker, Saxe, and Tenenbaum, "Action understanding as inverse planning"; Jern and Kemp, "A decision network account of reasoning about other people's choices"; Jara-Ettinger et al., "Children's understanding of the costs and rewards underlying rational action"; Lucas et al., "The child as econometrician: A rational model of preference understanding in children"; Oztog, Wolpert, and Kawato, "Mental state inference using visual control parameters"; Pantelis et al., "Inferring the intentional states of autonomous virtual agents".

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Example: We can guess what a 6-year-old child wants, given their actions, by using some functions.

- **Cue-based**³: Mentalizing is based on a direct mapping from low-level sensory inputs to high-level mental states via statistical associations.

Example: You want something because you reach for it.

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Previous Works

- Chris L Baker, Saxe, and Tenenbaum (2009) ; Jara-Ettinger *et al.* (2015) ; etc. **infer only desires** and associated notions such as goals, intentions, and preferences.
- Goodman *et al.* (2009) ; Jern and Kemp (2015) ; etc. additionally consider **inferring world states** and causal structure.
- Hawthorne-Madell and Goodman (2015) **infers beliefs** based on unobserved events.
- Butterfield *et al.* (2009) ; Shafto *et al.* (2012) jointly **infer knowledge and intentions**.

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³Goodman, Chris L Baker, and Tenenbaum, "Cause and intent: Social reasoning in causal learning".

⁴Jern and Kemp, "A decision network account of reasoning about other people's choices".

⁵Hawthorne-Madell and Goodman, "So good it has to be true: Wishful thinking in theory of mind".

⁶Butterfield et al., "Modeling aspects of theory of mind with Markov random fields".

⁷Shafto et al., "Epistemic trust: Modeling children's reasoning about others' knowledge and intent" >

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Missing Element	What Goes Wrong in Inference
Desire	You can't tell <i>why</i> an agent chose one option over another given the same belief.
Belief	You can't explain why an agent might act “irrationally” from an outside observer's perspective (they might have false or outdated beliefs).
Percept	You can't model how beliefs arise or update in the first place, so you can't predict changes in behavior when new information appears.

How to do that?

What's wrong with prior approaches?

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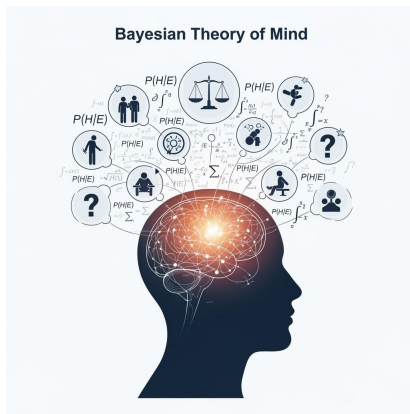
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*Image generated by Imagen-4-Ultra

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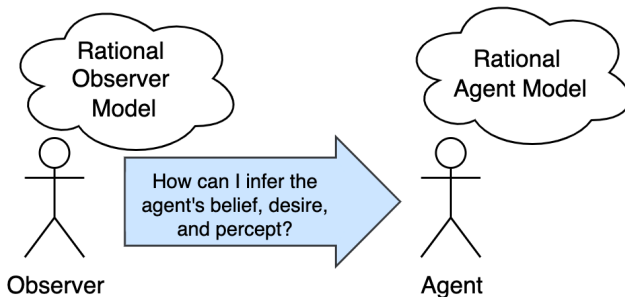
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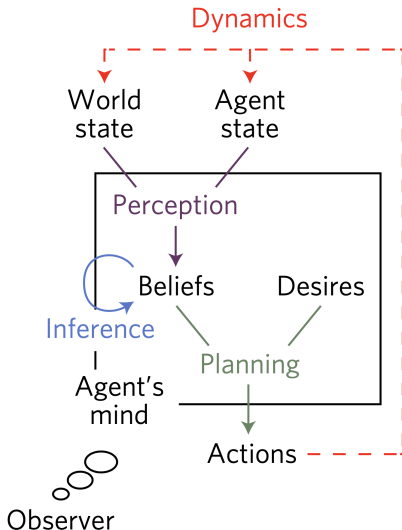
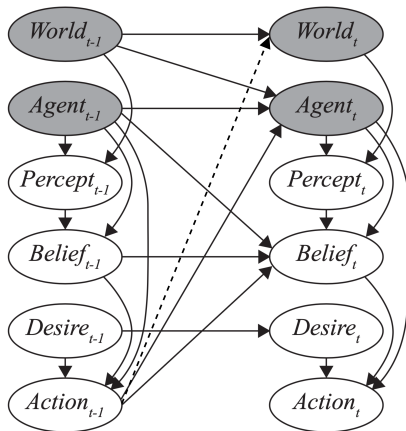
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Relations between Mental States and Environment

Theory of mind inference as a dynamic Bayes net

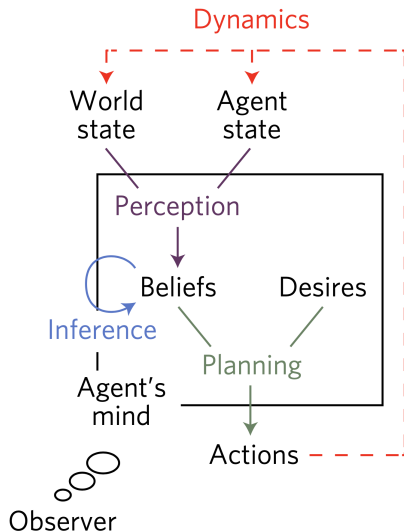




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BToM - Rational Agent Model

We define some notations:

- $S = \langle \mathcal{X}, \mathcal{Y} \rangle$: The state space
- $x_t \in \mathcal{X}$: agent state at step t
- $y_t \in \mathcal{Y}$: world state at step t
- $o_t \in \Omega$: agent's percept at step t
- $b_t(y) = P(Y_t = y | \cdot)$: agent's belief that y is the true state at step t
- $a_t \in \mathcal{A}$: agent's action at step t
- $r(x, y, a) \in \mathcal{R}$: agent desires are represented as a reward function

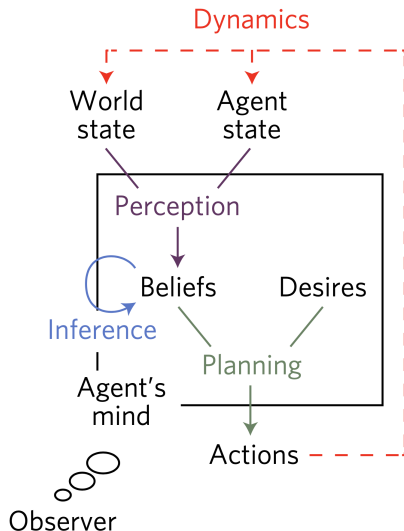




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BToM - Rational Agent Model computation

The agent decision-making process is modeled given initial belief b_0 and desire r as follows.

$$\begin{aligned}x_t &\sim P(x_t|x_{t-1}, y_{t-1}, a_{t-1}) \\y_t &\sim P(y_t|y_{t-1}, a_{t-1}) \\o_t &\sim P(o_t|x_t, y_t) \\b_t &\sim P(b_t|b_{t-1}, o_t) \\a_t &\sim P(a_t|b_t, x_t, r)\end{aligned}\tag{1}$$



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We can abstract the computation into two steps¹

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- **Belief Update:** $b_t = BU(o_t, x_t, x_{t-1}, y_t, y_{t-1}, a_{t-1}, b_{t-1})$, where $b_t(y) \propto P(o_t|x_t, y_t)P(x_t|x_{t-1}, y_{t-1}, a_{t-1})P(y_t|y_{t-1}, a_{t-1})b_{t-1}(y)$.

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- **Planning:** $a_t \sim P(a_t|b_t, x_t, r)$. There are multiple planning algorithms; Baker *et al.* used a grid-based value iteration algorithm² and the SARSOP algorithm³.

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Question 4

The BToM model uses a POMDP solver to compute what actions a_t a rational agent should do given their current belief b_t and desire r . However, POMDP solvers typically result in plans/policies that are deterministic (*i.e.*, a single optimal action is taken at each belief state). How does the BToM model turn this into a distribution over actions instead, $P(a_t|b_t, r)$? Is this a reasonable probabilistic model of how agents select actions?

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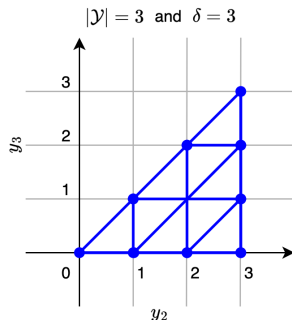
- At the beginning, observers do not know the agent's belief, desire, or percept.
- They start with initial assumptions about the agent's states (e.g., assigning equal probability to all possible beliefs).
- As they observe the agent's trajectory (i.e., world states and agent states), they update these assumptions so that the inferred beliefs/desires/percepts are consistent with the observed information.

Belief and Desire Priors

Belief space:

$$\Delta^{|\mathcal{Y}|-1} = \left\{ p \in \mathbb{R}^{|\mathcal{Y}|} : p_i \geq 0, \sum_{i=1}^{|\mathcal{Y}|} p_i = 1 \right\}$$

- Discretize using Freudenthal Triangulation with resolution δ
- Number of belief points b_0^i :
 $m(0) = \binom{|\mathcal{Y}|-1+\delta}{|\mathcal{Y}|-1}$.



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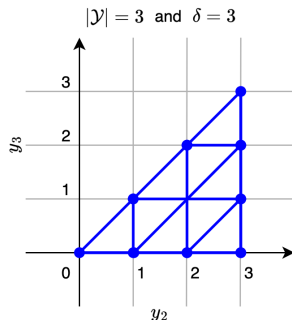
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Desire (reward) space:

- For each goal $g \in \mathcal{G}$, discretize reward values with resolution η
- Number of reward functions r^k : $n = \eta^{|\mathcal{G}|}$.





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Given the agent's trajectory up to step T , we infer beliefs and desires by



$$P(b_{0:T}^i, r_{\mathcal{G}}^k | x_{1:T}, y_{1:T}) \propto P(b_{1:T}^i, r_{\mathcal{G}}^k, x_{1:T}, y_{1:T})$$

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BToM - Rational Observer Model

Given the agent's trajectory up to step T , we infer beliefs and desires by

$$\begin{aligned} P(b_{0:T}^i, r_{\mathcal{G}}^k | x_{1:T}, y_{1:T}) &\propto P(b_{1:T}^i, r_{\mathcal{G}}^k, x_{1:T}, y_{1:T}) \\ &\propto P(b_0^i, r_{\mathcal{G}}^k) \prod_{t=1}^T P(b_t^i, x_t, y_t | b_{t-1}^i, r_{\mathcal{G}}^k, x_{t-1}, y_{t-1}) \end{aligned} \quad (2)$$

With $s_t = \langle x_t, y_t \rangle$ and $P(b_t | s_t, o_t) = P(b_t | o_t)$, we have

$$\begin{aligned} P(b_{0:T}^i, r_{\mathcal{G}}^k | s_{1:T}) &\propto P(b_0^i, r_{\mathcal{G}}^k) \prod_{t=1}^T P(b_t^i, s_t | b_{t-1}^i, s_{t-1}, r_{\mathcal{G}}^k) \\ &= P(b_0^i, r_{\mathcal{G}}^k) \prod_{t=1}^T \sum_{o_t} P(b_t^i, s_t | b_{t-1}^i, s_{t-1}, r_{\mathcal{G}}^k, o_t) P(o_t | b_{t-1}^i, s_{t-1}, r_{\mathcal{G}}^k) \\ &= P(b_0^i, r_{\mathcal{G}}^k) \prod_{t=1}^T \sum_{o_t} P(b_t^i | o_t, b_{t-1}^i) P(s_t | b_{t-1}^i, s_{t-1}, r_{\mathcal{G}}^k, o_t) P(o_t | b_{t-1}^i, s_{t-1}, r_{\mathcal{G}}^k) \end{aligned}$$

¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing" 

BToM - Rational Observer Model

$$P(b_{0:T}^i, r_{\mathcal{G}}^k \mid s_{1:T}) \propto P(b_0^i, r_{\mathcal{G}}^k) \prod_{t=1}^T \sum_{o_t} P(b_t^i \mid o_t, b_{t-1}^i) P(s_t, o_t \mid b_{t-1}^i, s_{t-1}, r_{\mathcal{G}}^k)$$

BToM - Rational Observer Model



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¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing"  

Analogously, the observer can perform percept inference given only the agent's trajectory by

$$\begin{aligned} P(y|x_{1:T}) &= \sum_{b_t^i, r_{\mathcal{G}}^k} P(b_t^i, r_{\mathcal{G}}^k, y|x_{1:T}) \\ &= \sum_{b_t^i, r_{\mathcal{G}}^k} P(b_t^i, r_{\mathcal{G}}^k|x_{1:T}, y)P(y). \end{aligned} \tag{3}$$

As $P(b_t^i, r_{\mathcal{G}}^k|x_{1:T}, y)$ has been computed before and $P(y)$ can be computed from environment, the $P(y|x_{1:T})$ is computable.

**In this study, we consider the case where the world states y_t remain fixed. Thus, $y = y_1 = \dots = y_T$.*



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing"  



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- 2 Preliminaries and Problem Statement
- 3 Related Works
- 4 Bayesian Theory of Mind
- 5 Experiments and Results**
- 6 Conclusion

Experiment Setup

To validate the proposed model, the authors perform two experiments:



- **Experiment 1:** Participants saw a large number of dynamic scenarios and made quantitative inferences about agents' beliefs and desires given their observable actions.

¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing"  

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Both experiments were tested using bootstrap cross-validated (BSCV) correlations with disjoint training and testing sets.

¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing"  



The authors compare the proposed model with three others:

- **TrueBelief:** (*model-based*) Similar to BToM, but the agent knows the true world state (*i.e.* its belief matches the real world states).

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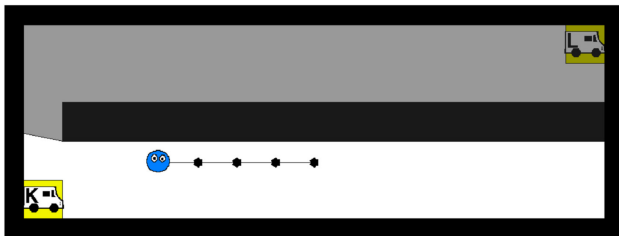
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- **NoCost:** (*model-based*) Similar to BToM, the agents plan their actions without optimizing cost.
- **MotionHeuristic:** (*cue-based*) This model maps cues extracted from the agent's motion and environment directly onto the observer's judgements of agents' beliefs, desires, and percepts of the world.



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Experiment 1: Food Trucks

- Food trucks: Korean (K), Lebanese (L), and Mexican (M).
- There are only two parking slots for the trucks on campus.
- At least one truck parks in the lower-left every day.
- The agent is a student going to lunch with unknown truck preference.

The observer will be given the agent's path. The observer is required to rate the agent's truck preference and the agent's initial belief about the possible occupant of the far parking spot.

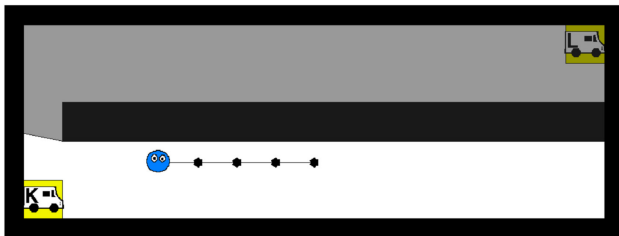




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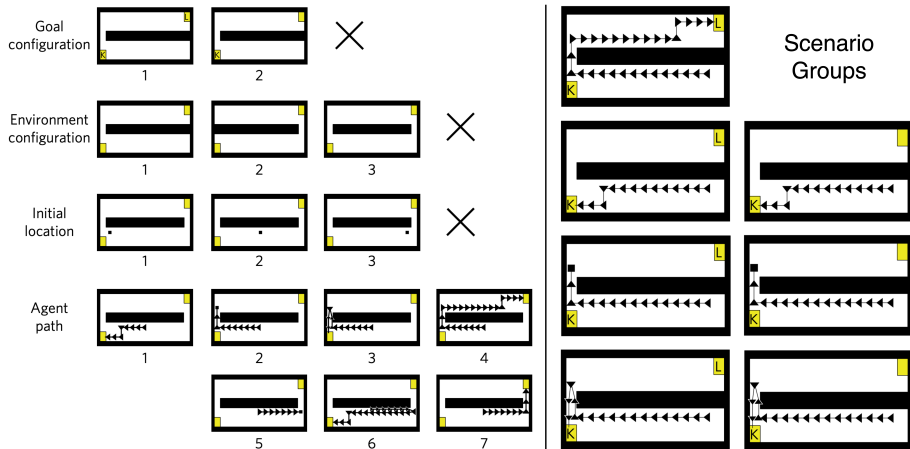
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Experiment 1: Food Trucks

The experiment varies 4 factors and groups scenarios into 7 sets.



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing"  

Experiment 1 Results



BSCV used 100,000 iterations, with training folds containing 4/7 of scenario types, and testing folds containing 3/7 of scenario types. Values reported are median BSCV correlations with 95% confidence intervals. (*) indicates r -values which are significantly less than those of BToM ($p < 0.00001$).

Table 1: BSCV analysis of model predictions for individual scenarios

r (BSCV)	BToM	TimeBelief	NoCost	MotionHeuristic
Desire (individual)	0.91 (0.89, 0.92)	0.72 (0.68, 0.77)*	0.75 (0.69, 0.81)*	0.62 (0.51, 0.70)*
Belief (individual)	0.78 (0.72, 0.85)	-0.02 (-0.16, 0.11)*	0.10 (0.05, 0.15)*	0.79 (0.71, 0.84)

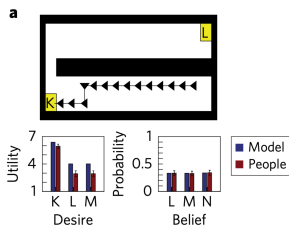
Table 2: BSCV analysis of model predictions for grouped scenarios

r (BSCV)	BToM	TimeBelief	NoCost	MotionHeuristic
Desire (grouped)	0.97 (0.95, 0.98)	0.78 (0.70, 0.86)*	0.80 (0.39, 0.96)*	0.65 (-0.09, 0.87)*
Belief (grouped)	0.91 (0.87, 0.98)	-0.04 (-0.52, 0.49)*	0.19 (0.02, 0.93)	0.77 (0.31, 0.93)

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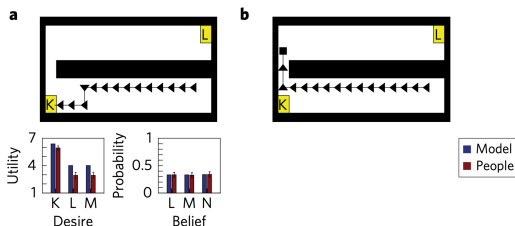
Experiment 1 Results (cont.)

Comparing BToM and mean human ($n = 16$) desire and belief inferences from seven key scenario types.



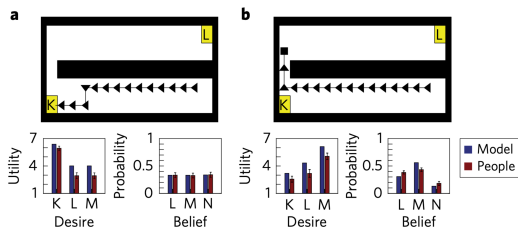
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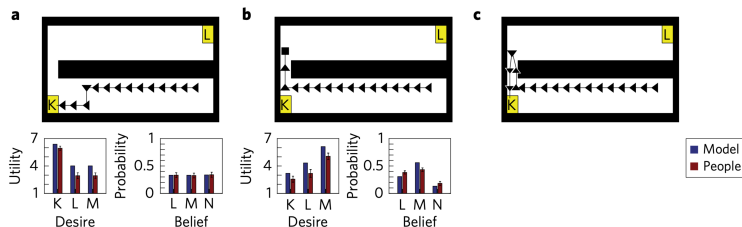
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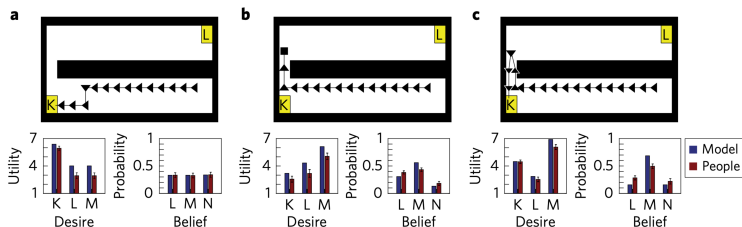
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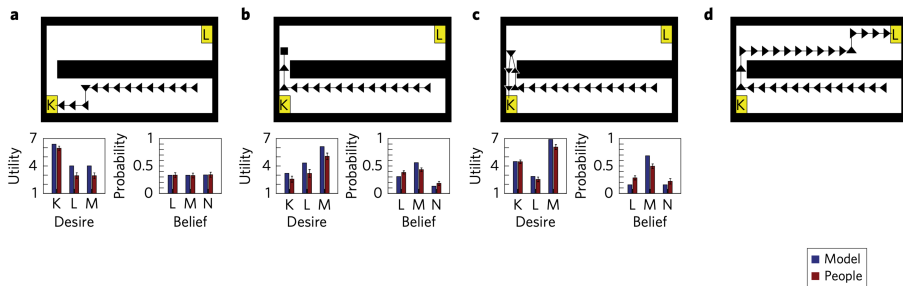
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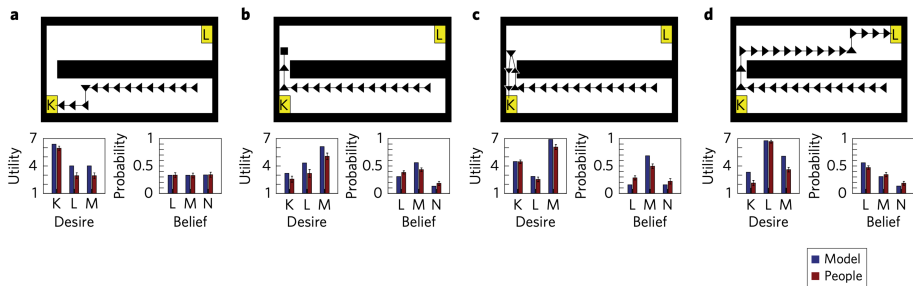
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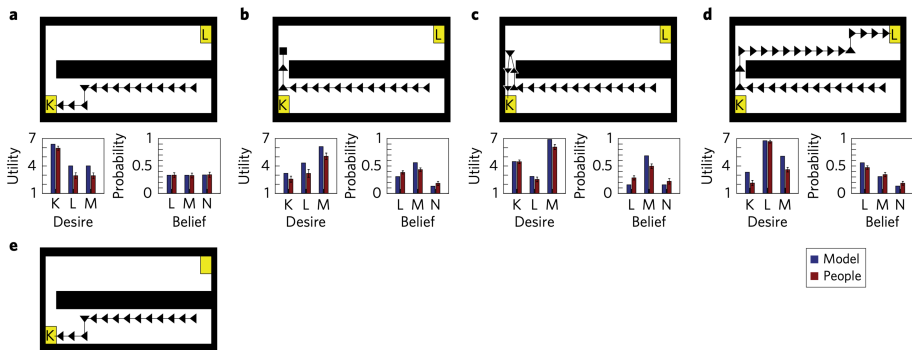
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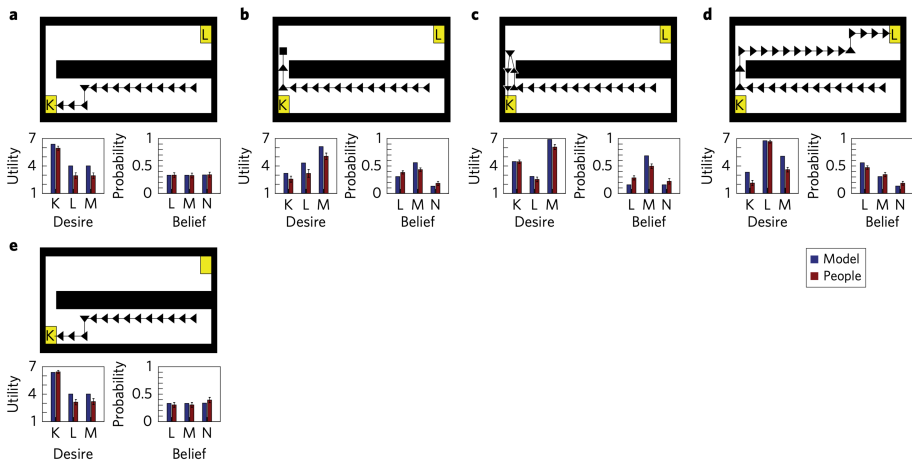
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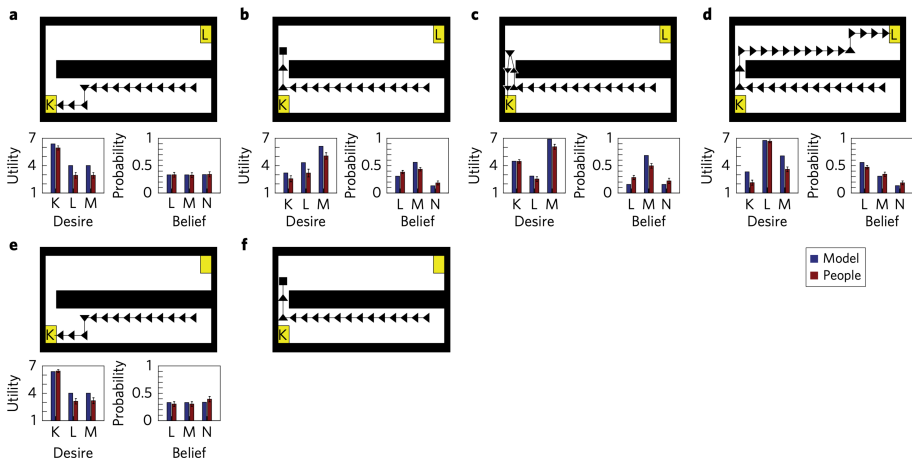
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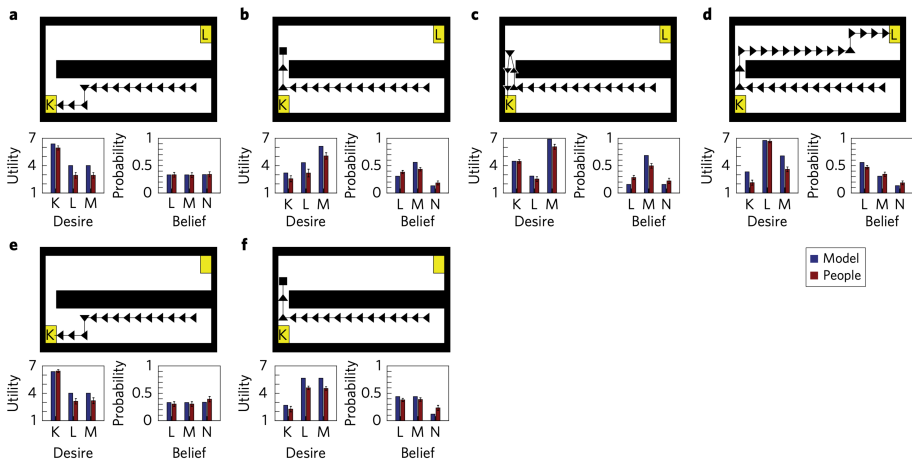
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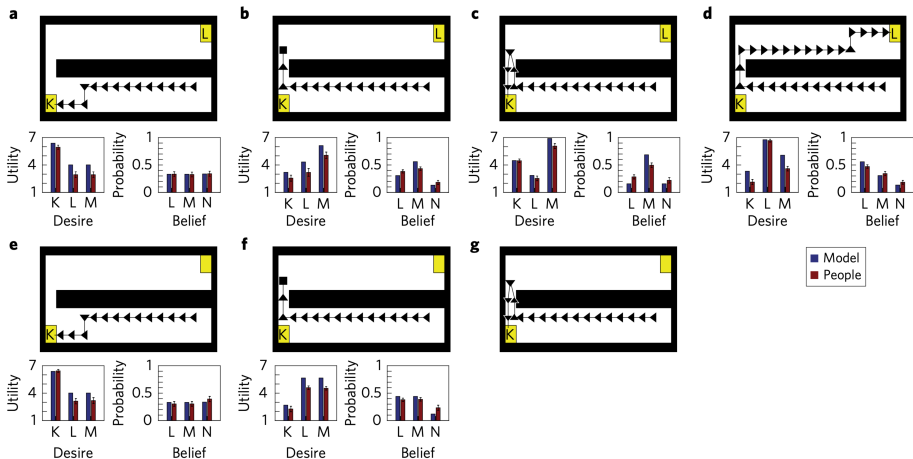
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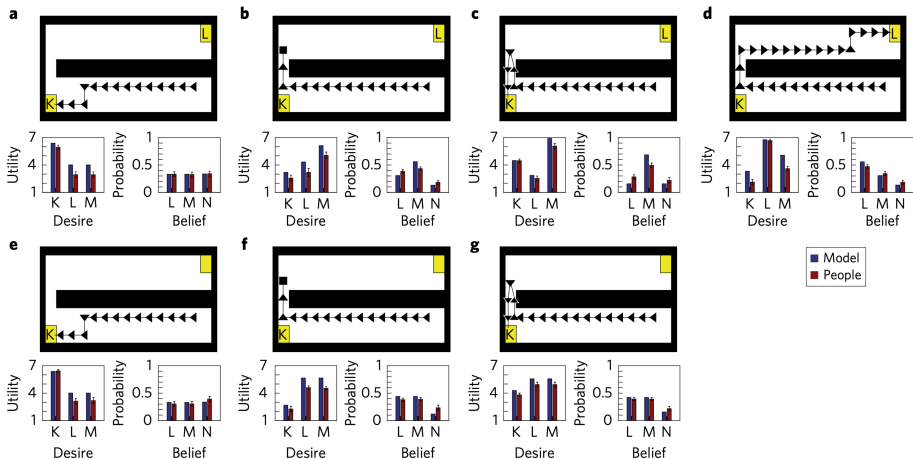
Experiment 1 Results (cont.)

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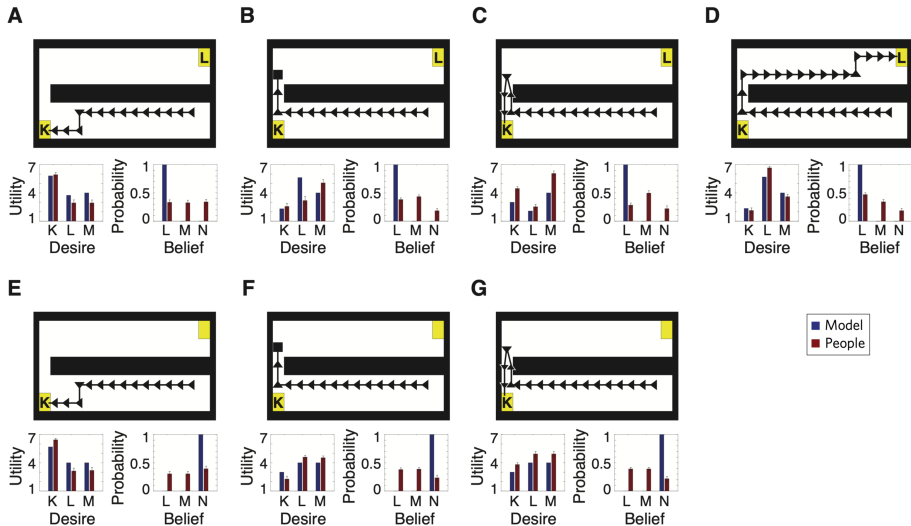
Experiment 1 Results (cont.)

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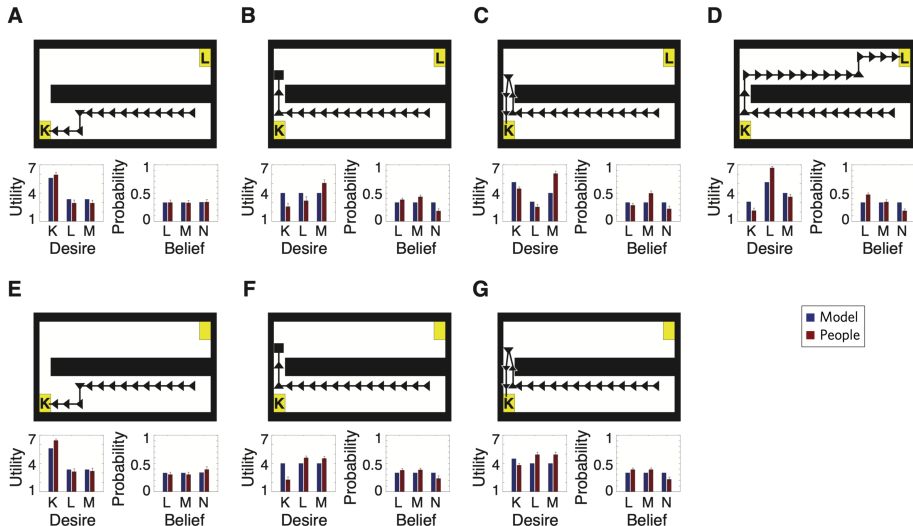
Experiment 1 Results (cont.)

Comparing TrueBelief and mean human ($n = 16$) desire and belief inferences from seven key scenario types.



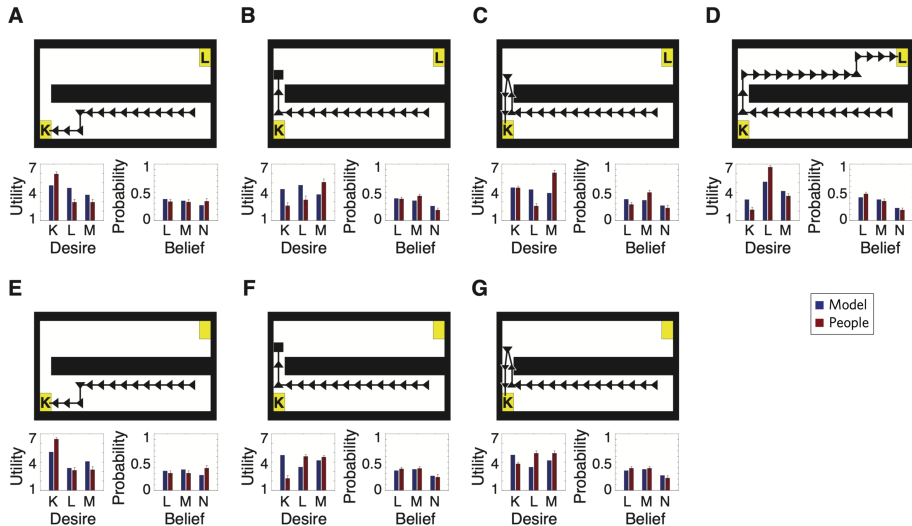
Experiment 1 Results (cont.)

Comparing NoCost and mean human ($n = 16$) desire and belief inferences from seven key scenario types.



Experiment 1 Results (cont.)

Comparing MotionHeuristic and mean human ($n = 16$) desire and belief inferences from seven key scenario types.



Question 5

As a baseline, the BToM model is compared against a cue-based “MotionHeuristic” model, which only takes into account which objects the agent is moving towards / away from.

- 1 Why is the MotionHeuristic model unable to produce human-like inferences about the agent's desires?

Question 5

As a baseline, the BToM model is compared against a cue-based “MotionHeuristic” model, which only takes into account which objects the agent is moving towards / away from.

- 1 Why is the MotionHeuristic model unable to produce human-like inferences about the agent's desires?
- 2 Why is MotionHeuristic better at producing human-like inferences about the agent's beliefs?



Question 5

As a baseline, the BToM model is compared against a cue-based "MotionHeuristic" model, which only takes into account which objects the agent is moving towards / away from.

- 1 Why is the MotionHeuristic model unable to produce human-like inferences about the agent's desires?
- 2 Why is MotionHeuristic better at producing human-like inferences about the agent's beliefs?
- 3 How might the scenarios be modified to "break" the MotionHeuristic, so that it no longer produces human-like inferences about the agent's beliefs?



Experiment 2: Free Food Carts

- Food carts: Afghani (A), Burmese (B), and Colombian (C).

¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing"  



Experiment 2: Free Food Carts

- Food carts: Afghani (A), Burmese (B), and Colombian (C).
- Cart location: north (N), west (W), and east (E).

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Experiment 2: Free Food Carts

- Food carts: Afghani (A), Burmese (B), and Colombian (C).
- Cart location: north (N), west (W), and east (E).
- Cart A and B can be open or closed. Cart C is always open.

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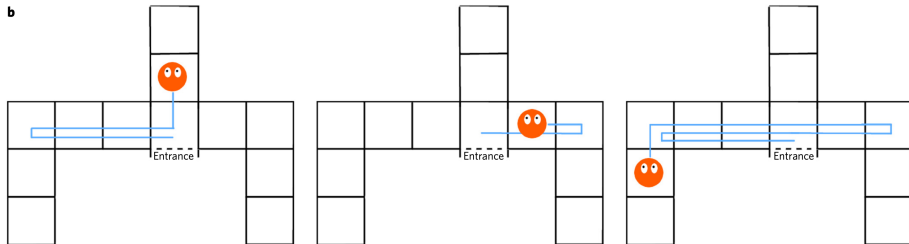
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- The agent is a student finding free food with preference $A \succ B \succ C$.

The observers see the agent's path but not the cart locations or availabilities. They are required to infer the positions of all three carts.

b





¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing"  

Experiment 2 Results

BSCV used 100,000 iterations, with training folds containing 13/19 of scenario types, and testing folds containing 6/19 of scenario types. Values reported are median BSCV correlations with 95% confidence intervals. (*), (**) indicate r -values which are significantly less than those of BToM ($p < 0.0001$; $p < 0.001$).

Table 3: BSCV analysis of model predictions for individual scenarios

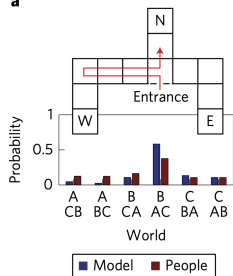
r (BSCV)	BToM	TimeBelief	NoCost	MotionHeuristic
World State	0.91 (0.86, 0.94)	0.63 (0.24, 0.83)**	0.46 (0.17, 0.79)**	0.61 (0.10, 0.83)*

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Experiment 2 Results (cont.)

Comparing BToM and mean human ($n = 176$) percept inferences on a range of key scenarios.

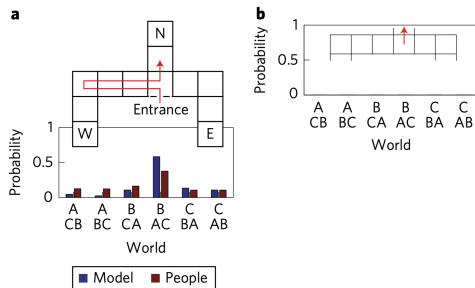
a



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Experiment 2 Results (cont.)

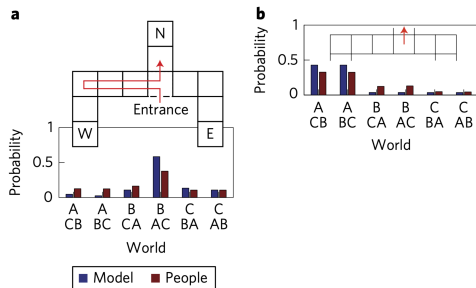
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Experiment 2 Results (cont.)

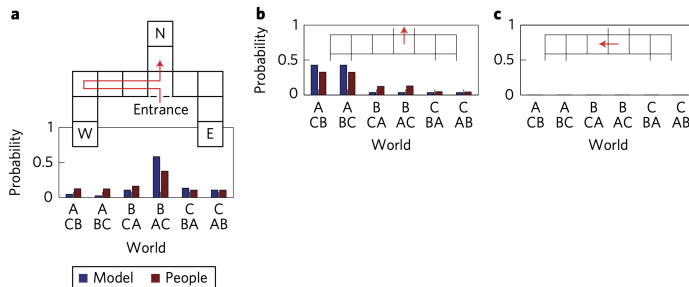
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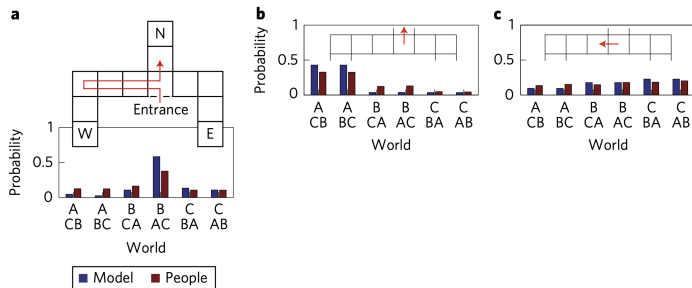
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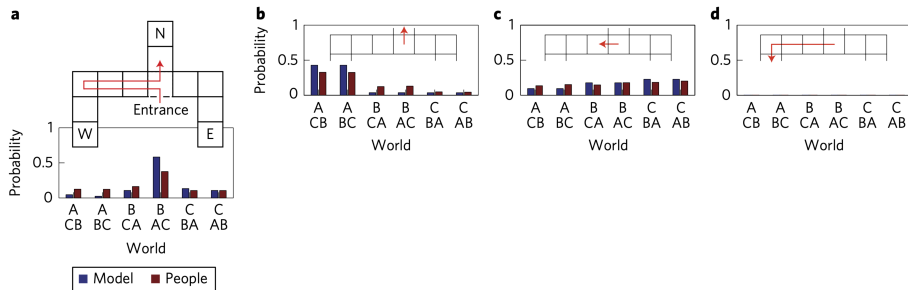
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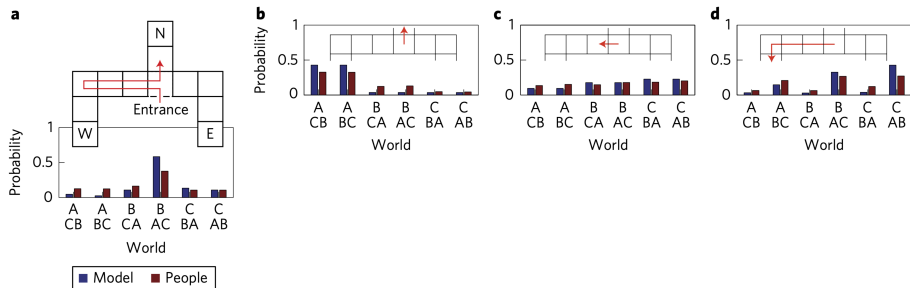
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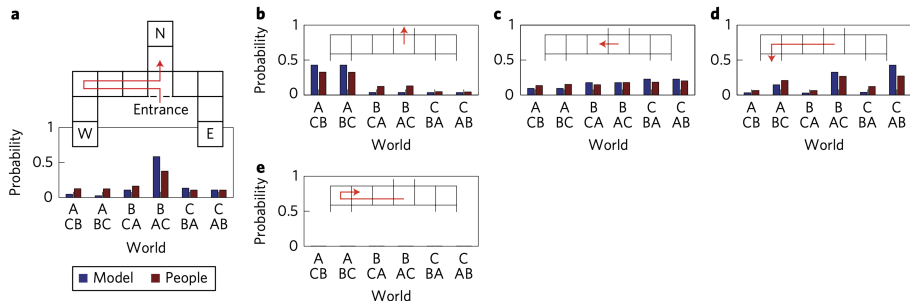
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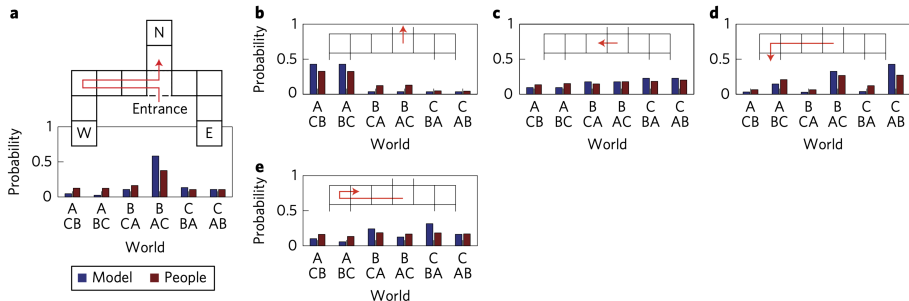
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Experiment 2 Results (cont.)

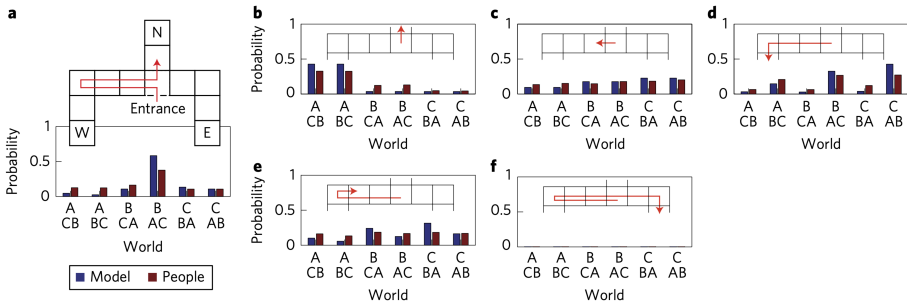
Comparing BToM and mean human ($n = 176$) percept inferences on a range of key scenarios.



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing".

Experiment 2 Results (cont.)

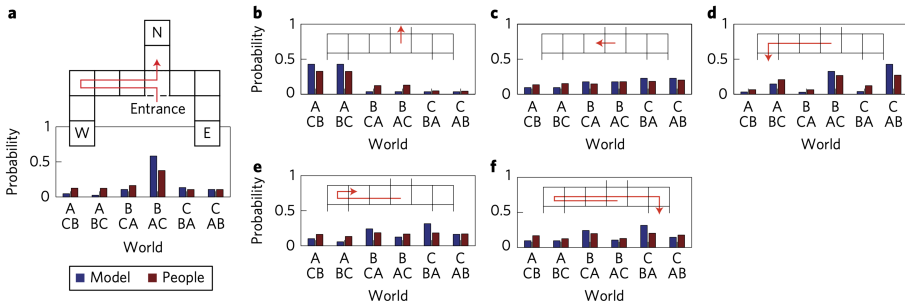
Comparing BToM and mean human ($n = 176$) percept inferences on a range of key scenarios.



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing" [\[1\]](#)

Experiment 2 Results (cont.)

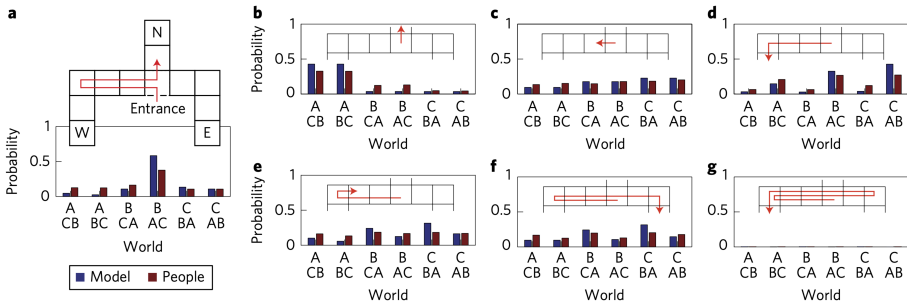
Comparing BToM and mean human ($n = 176$) percept inferences on a range of key scenarios.





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Experiment 2 Results (cont.)

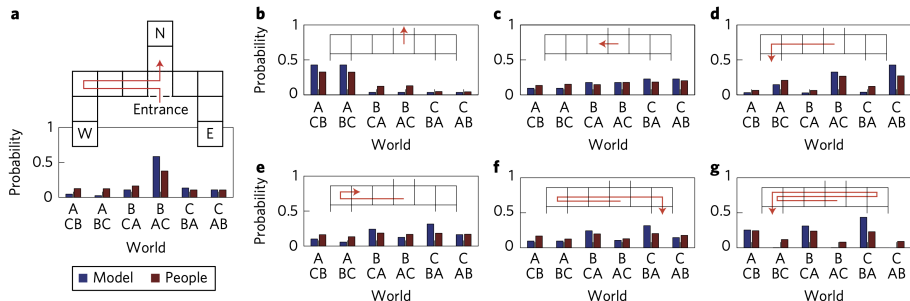
Comparing BToM and mean human ($n = 176$) percept inferences on a range of key scenarios.



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing"  

Experiment 2 Results (cont.)

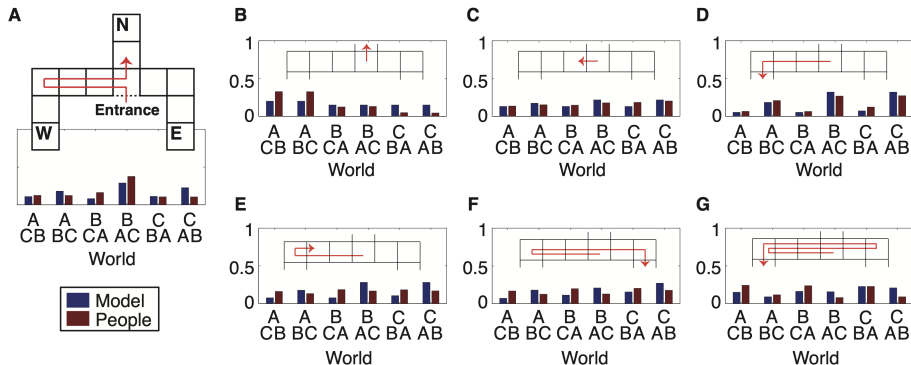
Comparing BToM and mean human ($n = 176$) percept inferences on a range of key scenarios.



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing" [\[1\]](#)

Experiment 2 Results (cont.)

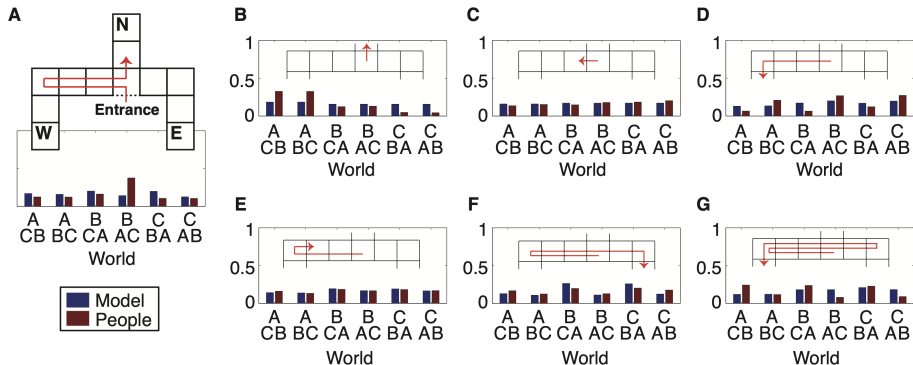
Comparing TrueBelief and mean human ($n = 176$) percept inferences on a range of key scenarios.



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing" [\[1\]](#)

Experiment 2 Results (cont.)

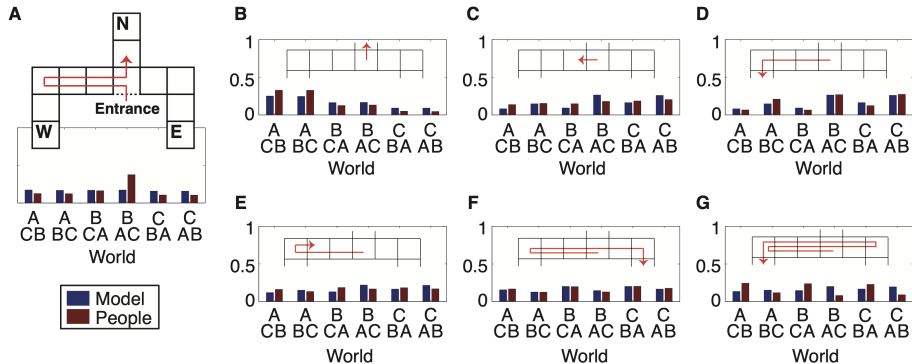
Comparing NoCost and mean human ($n = 176$) percept inferences on a range of key scenarios.



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing" [\[1\]](#)

Experiment 2 Results (cont.)

Comparing MotionHeuristic and mean human ($n = 176$) percept inferences on a range of key scenarios.



¹Chris L. Baker et al., "Rational quantitative attribution of beliefs, desires and percepts in human mentalizing" [\[1\]](#)

Question 6

To the extent that LLMs can replicate theory-of-mind-associated capabilities like attributing beliefs and desires, do you think they are model-based or cue-based (or something in between)? How could we design experiments to tell?

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To the extent that LLMs can replicate theory-of-mind-associated capabilities like attributing beliefs and desires, do you think they are model-based or cue-based (or something in between)? How could we design experiments to tell?

Question 7: Bonus

What is the POMDP solver used for planning in Experiment 2, and how is it related to NUS?

Table of Contents

- 1 Introduction
- 2 Preliminaries and Problem Statement
- 3 Related Works
- 4 Bayesian Theory of Mind
- 5 Experiments and Results
- 6 Conclusion**

- **Bayesian Theory of Mind (BToM)** is a model for inferring others' beliefs, desires, and percepts.
- Quantitatively evaluated in two experiments:
 - **Experiment 1:** Predicting human inferences about beliefs and desires from action trajectories.
 - **Experiment 2:** Inferring hidden aspects of the world (percepts) from others' actions.
- BToM outperforms alternative models (TrueBelief, NoCost, MotionHeuristic), capturing both quantitative fits and qualitative nuances in human judgments.

Future Developments

- Extend BToM to handle:
 - **Epistemic goals** (explicit information-seeking) in addition to instrumental goals.
 - **Multi-agent interactions** (competitive/cooperative scenarios) using game-theoretic models.
 - **Richer environment models** with intuitive physics and broader action repertoires.
- Integrate **fast, learned approximations** (e.g., neural networks) for real-time inference.

- THE END -

Thank you for your attention

Acknowledgements

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